EKONAVA
Impact Partners

ESG DUE DILIGENCE ASSISTANT

Summary Assessment Report

An Evaluation of Sustainability Performance & Strategic Innovation
Opportunities

Prepared for: SAMPLE REPORTS

Institution Code: 27306January2026

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Project Started: January 21, 2026

POWERED BY **GLOBAL ESG STANDARDS**

DIGITAL ACCESS

About EKONAVA

EKONAVA is a digital sustainability intelligence platform developed to support Financial Institutions (FIs), Development Finance Institutions (DFIs), and Impact Investors in streamlining and strengthening their Environmental and Social Due Diligence (ESDD) processes. The platform was born from the urgent need to address growing regulatory expectations, limited ESG capacity in emerging markets, and the inefficiencies of traditional, manual due diligence workflows.

Our Mission: Accelerating Responsible Investment Decisions

To help financial institutions, DFIs, and sustainable investors accelerate Environmental and Social Due Diligence (ESDD) using AI, making responsible investment decisions faster and more data-driven.
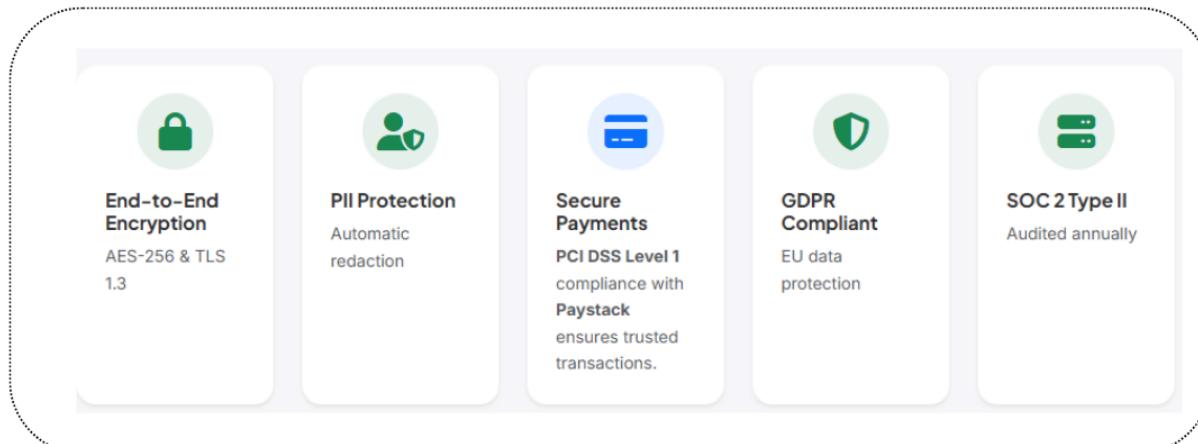
Our Green Commitment

At the heart of our mission lies a deep-rooted commitment to sustainability. For every project started through our platform, we plant a tree - nurturing both digital transformation and our planet's future.

At the heart of EKONAVA is an AI-powered engine that analyzes Environmental and Social Management System (ESMS) documentation and provides maturity scoring aligned to the IFC Performance Standard 1. The system identifies documentation gaps, flags risk areas, and offers recommendations, producing clear, audit-ready reports in a fraction of the time typically required.

AI-Powered ESG Analysis Engine

Enterprise Security - Your Data is Protected

We implement industry-leading security measures to ensure your sustainability data remains confidential and protected:

End-to-End Encryption	PII Protection	Secure Payments	GDPR Compliant	SOC 2 Type II
AES-256 & TLS 1.3	Automatic redaction	PCI DSS Level 1 compliance with **Paystack** ensures trusted transactions.	EU data protection	Audited annually

Strategic Impact

Why It Matters

Across Africa, financial institutions are increasingly required to demonstrate ESG compliance as a precondition for funding, particularly when supported by multilateral banks or international finance actors. However, many institutions still rely on static checklists, scattered documentation, or expensive consultants. EKONAVA bridges this gap by enabling automated, scalable, and standardized ESG assessments that are built for local contexts but aligned with global standards.

Areas of Focus (FEMA Framework)

The platform focuses on four critical sectors that drive sustainable development in the region: Finance, Energy, Manufacturing, and Agriculture (FEMA). These sectors are both opportunity-rich and ESG-sensitive, making it vital that sustainability screening is thorough, fast, and consistent across portfolios.

| Finance | Energy | Manufacturing | Agriculture |

Key Features & Benefits

1 **AI-Powered ESG Screening:** Rapidly evaluates ESMS content against IFC PS1

2 **Maturity Scoring:** Assesses strength of E&S systems and highlights gaps

3 **Audit-Ready Reporting:** Exportable PDF/Excel for committees

4 **Built for African Markets:** Regional context with global standards

5 **Supports ESG Teams:** Reduces workload while increasing accuracy

Environmental, Social & Governance Assessment

Report Overview

This report presents a comprehensive evaluation of performance across key environmental and social policy assessment categories. Familiarity with IFC Performance Standards is advantageous for contextualizing the assessment methodology and criteria. Each evaluation metric employs a standardized scoring framework from 0 to 5, accompanied by an assigned rating.

Our objective is to deliver ongoing strategic guidance to enhance organizational alignment with IFC's sustainability and risk management protocols.

NOMENCLATURE

Key terms and methodology definitions

ESMS: Environmental and Social Management System

ESAP: Environmental and Social Action Plan

EHS: Environmental, Health, and Safety

ESG: Environmental, Social, and Governance

GIIP: Good International Industry Practice

ILO: International Labour Organization

IFC: International Finance Corporation

EXECUTIVE SUMMARY

Key findings and recommendations at a glance

The Sustainability Assessment Report for SAMPLE REPORTS, dated January 21, 2026, presents a comprehensive evaluation of the organization's Environmental and Social Management System (ESMS). The overall assessment score is 2.774 out of 5, which translates to a percentage of 55.48%, categorizing the organization as 'Non-Compliant'. The assessment encompassed five critical elements: Policy, Emergency, Reporting, Management, and Organization, each evaluated for its performance and compliance with established standards. The key findings indicate that the organization has strengths in the Organization element, scoring 4.5 out of 5, which reflects a high level of competency and training among professionals involved in environmental and social issues. Conversely, the Emergency element scored only 0.75 out of 5, indicating significant gaps in emergency preparedness and response training, which is a critical area of concern. The Policy element received a score of 3.29, suggesting partial compliance, while Reporting and Management scored 2.33 and 3 respectively, indicating areas needing substantial improvement. The assessment highlights the necessity for the organization to enhance its emergency management protocols, improve reporting mechanisms, and establish clearer policies and procedures to ensure compliance with environmental and social standards. Recommendations include the development of formal governance structures, enhanced training programs, and the establishment of clear communication channels with affected communities. Overall, the report underscores the importance of addressing identified deficiencies to elevate the organization's maturity and compliance status in environmental and social management.

MODULE-SPECIFIC SUMMARY

Individual component assessments

POLICY: (Score: 3.29), Status: Partially Compliant The assessment of the Policy element reveals a score of 3.29, indicating partial compliance with established standards. The documentation demonstrates some level of senior management involvement, particularly in the implementation of the Grievance Policy and the formation of an ESMS team. However, significant weaknesses were identified, including the absence of a formal governance structure and explicit evidence of senior management's public commitment to environmental and social objectives. Recommendations to improve include establishing a formal ESMS governance charter and articulating a senior-management commitment statement to E&S objectives. The current maturity level reflects basic awareness but lacks robust, verifiable evidence of ongoing leadership-driven improvements.

EMERGENCY: (Score: 0.75), Status: Significant Gaps The Emergency element scored 0.75, indicating significant gaps in emergency preparedness and response. The documentation lacks a formal Emergency Response Plan and does not provide evidence of regular training or drills for employees, including contractors. There is no explicit training requirement for emergency response teams, nor is there a monitoring process to evaluate training effectiveness. Recommendations include developing a comprehensive Emergency Response Plan and implementing mandatory emergency training programs for all employees. The current maturity level is inadequate, necessitating immediate attention to establish a robust emergency management framework.

REPORTING: (Score: 2.33), Status: Non-Compliant The Reporting element received a score of 2.33, categorizing it as non-compliant. The assessment indicates that while there are mechanisms for grievance reporting and tracking, there is insufficient evidence of a structured process to communicate action plans and resolutions back to affected communities. Recommendations include developing a formal protocol for communicating actions taken in response to grievances and integrating community participation in monitoring implemented actions. The current maturity level reflects a need for significant improvement in transparency and accountability in reporting practices.

MANAGEMENT: (Score: 3), Status: Partially Compliant The Management element

scored 3, indicating partial compliance. The documentation shows that action plans exist and responsibilities are assigned; however, there is a lack of explicit target dates and performance indicators tied to actions. Recommendations include defining action-by-action target dates and integrating measurable indicators into the action plans. The current maturity level demonstrates some procedural evidence but requires enhancements to ensure comprehensive management of environmental and social risks.

ORGANIZATION: (Score: 4.5), Status: Compliant The Organization element scored 4.5, reflecting compliance with established standards. The assessment indicates that the organization has competent professionals with current knowledge of environmental and social issues and involves external experts for complex projects. The training programs are well-structured and cover all relevant staff. The current maturity level is robust, demonstrating a high level of organizational capability and commitment to environmental and social management.

REFERENCE FRAMEWORKS USED IN ASSESSMENT

Standards and guidelines applied

ESS: Environmental and Social Standards

ESMS: Environmental and Social Management System

IFC: International Finance Corporation Performance Standards

OS: Operational Safeguards

INTRODUCTION

Report context and assessment scope

The purpose of this Sustainability Assessment Report is to evaluate the Environmental and Social Management System (ESMS) of SAMPLE REPORTS, focusing on its compliance with established environmental and social standards. The assessment was conducted to identify strengths and weaknesses within the organization's current practices and to provide a comprehensive overview of its sustainability performance. The assessment methodology involved a detailed review of relevant documentation, including the merged assessment report, and an analysis of responses to specific questions related to various elements of the ESMS. The assessment was carried out by Ekonava Impact Partners and is dated January 21, 2026. It is important to note that the findings and recommendations presented in this report are based solely on the documentation reviewed and do not account for any external factors or developments that may have occurred after the assessment date. The report is structured to provide an executive summary, detailed findings for each assessed element, and recommendations for improvement, ensuring a clear and organized presentation of the assessment results.

DETAILED ASSESSMENT FINDINGS

Comprehensive analysis and evaluation

I. POLICY (Score: 3.29) Status: Partially Compliant
The assessment of the Policy element reveals a score of 3.29, indicating partial compliance with established standards. The documentation demonstrates some level of senior management involvement, particularly in the implementation of the Grievance Policy and the formation of an ESMS team. However, significant weaknesses were identified, including the absence of a formal governance structure and explicit evidence of senior management's public commitment to environmental and social objectives. Recommendations to improve include establishing a formal ESMS governance charter and articulating a senior-management commitment statement to E&S objectives. The current maturity level reflects basic awareness but lacks robust, verifiable evidence of ongoing leadership-driven improvements.

Gaps:
The gaps identified in the Policy element include the lack of a formal governance structure for the ESMS, insufficient evidence of senior management's public commitment to environmental and social objectives, and the absence of documented performance indicators tied to E&S risks. Additionally, there is a need for routine senior-management-led reviews and a clear demonstration of resource allocation for ESMS activities.

Recommendations from the Report:
To enhance the Policy element, it is recommended to establish a formal ESMS governance charter that assigns cross-functional ownership, articulate a senior-management commitment statement to E&S objectives, implement a training and awareness plan, and create a schedule for periodic senior-management reviews of ESMS performance.

II. EMERGENCY (Score: 0.75) Status: Significant Gaps
The Emergency element scored 0.75, indicating significant gaps in emergency preparedness and response. The documentation lacks a formal Emergency Response Plan and does not provide evidence of regular training or drills for employees, including contractors. There is no explicit training requirement for emergency response teams, nor is there a monitoring process to evaluate training effectiveness. Recommendations include developing a comprehensive Emergency Response Plan and implementing mandatory emergency training programs for all employees. The current maturity level is inadequate, necessitating immediate attention to establish a robust emergency management framework.

Gaps:
The gaps identified in the Emergency element include the absence of a documented Emergency Response Plan, lack of training coverage for life safety across shifts, and no stated cadence or documentation of drills or exercises. There is also a lack of explicit training requirements for emergency response teams and no monitoring or evaluation of training effectiveness.

Recommendations from the Report:
To improve the Emergency element, it is recommended to develop and formally approve an Emergency Response Plan, implement a mandatory emergency training program for all employees, establish specific training requirements for emergency response teams, and create a formal monitoring and evaluation process for training effectiveness.

III. REPORTING (Score: 2.33) Status: Non-Compliant
The Reporting element received a score of 2.33, categorizing it as non-compliant. The assessment indicates that while there are mechanisms for grievance reporting and tracking, there is insufficient evidence of a structured process to communicate action plans and resolutions back to affected communities. Recommendations include developing a formal protocol for communicating actions taken in response to grievances and integrating community participation in monitoring implemented actions. The current maturity level reflects a need for significant improvement in transparency and accountability in reporting practices.

Gaps:
The gaps identified in the Reporting element include the lack of explicit procedures detailing how communities are informed of specific actions taken in response to grievances, insufficient evidence of a regular cadence for communicating resolution and impact of actions back to communities, and limited detail on how stakeholder feedback is integrated into the ESMS.

Recommendations from the Report:
To enhance the Reporting element, it is recommended to develop and implement a formal communications protocol, integrate a transparent closeout verification step with community sign-off, and expand grievance mechanism disclosures to include outcomes and impacts of resolved cases.

IV. MANAGEMENT (Score: 3) Status: Partially Compliant
The Management element scored 3, indicating partial compliance. The documentation shows that action plans exist and responsibilities are assigned; however, there is a lack of explicit target dates and performance indicators tied

to actions. Recommendations include defining action-by-action target dates and integrating measurable indicators into the action plans. The current maturity level demonstrates some procedural evidence but requires enhancements to ensure comprehensive management of environmental and social risks.

Gaps:
The gaps identified in the Management element include the absence of explicit target dates attached to individual actions within the action plan, lack of documented resources for each action, and insufficient performance indicators tied to actions.

Recommendations from the Report:
To improve the Management element, it is recommended to define action-by-action target dates, tie actions to explicit resources, and include measurable indicators and verification steps in the action plans.

V. ORGANIZATION (Score: 4.5) Status: Compliant
The Organization element scored 4.5, reflecting compliance with established standards. The assessment indicates that the organization has competent professionals with current knowledge of environmental and social issues and involves external experts for complex projects. The training programs are well-structured and cover all relevant staff. The current maturity level is robust, demonstrating a high level of organizational capability and commitment to environmental and social management.

Gaps:
The gaps identified in the Organization element include the absence of a formal E&S budget allocation and a documented competency framework with performance indicators. Additionally, there is a need for a structured process to ensure external expertise is integrated into ESMS governance and risk assessment.

Recommendations from the Report:
To enhance the Organization element, it is recommended to develop a formal E&S competency framework, establish an explicit E&S budget line, formalize external expert engagement criteria, and implement a structured training program with measurable outcomes.

RECOMMENDATIONS TO IMPROVE OVERALL MATURITY

Actionable insights for improvement

To improve the overall maturity of the ESMS, it is recommended to establish a formal governance structure that includes cross-functional ownership and accountability for environmental and social objectives. This should be complemented by a senior-management commitment statement to E&S objectives, which articulates allocated budgets and resources for ESMS implementation. Additionally, implementing a comprehensive training and awareness plan that includes documented responsibilities and metrics for completion will enhance staff engagement and understanding of E&S issues.

Furthermore, developing a robust Emergency Response Plan that includes regular training and drills for all employees, including contractors, is essential to ensure preparedness for potential emergencies. Establishing a formal protocol for communicating actions taken in response to grievances and integrating community participation in monitoring implemented actions will enhance transparency and accountability in reporting practices.

In terms of management, defining action-by-action target dates and integrating measurable indicators into action plans will facilitate better tracking of progress and accountability. Lastly, enhancing the organizational framework by developing a formal E&S competency framework and establishing a clear budget for E&S activities will support the ongoing development of staff capabilities and ensure adequate resources are allocated to meet environmental and social objectives.

ANNEXES

Supporting documentation and references

POLICY: https://ekonavaimpactpartners.com/assessment/results/policy/27306January2026/

EMERGENCY:
https://ekonavaimpactpartners.com/assessment/results/emergency/27306January2026/

REPORTING: https://ekonavaimpactpartners.com/assessment/results/reporting/27306January2026/

MANAGEMENT:
https://ekonavaimpactpartners.com/assessment/results/management/27306January2026/

ORGANIZATION:
https://ekonavaimpactpartners.com/assessment/results/organization/27306January2026/

The full reports provide detailed information on each assessed element. Ekonava Impact Partners strives to provide accurate information, but it is the user's responsibility to verify the information and follow up as necessary.